

FILED / RECEIVED

JAN 23 2020

EPIQ

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: Lehman Brothers Holdings Inc.

Case No.: JMP

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<b>Name of Transferee:</b> Entris Banking AG, CH-3073 Gümligen	<b>Name of Transferor:</b> Incore Bank AG Zurich
<b>Notices to Transferee should be sent to:</b> Entris Banking AG c/o Swisscom (Schweiz) AG Business Customers / CTG Froburgstrasse 10 CH-4600 Olten  <b>E-mail:</b> bas.corporateactions@swisscom.com	<b>Court Record Address of the Transferor:</b> (Court use only)
<b>Name and Address where transferee payments should be sent (if different from above):</b> Entris Banking AG c/o Swisscom (Schweiz) AG Business Customers / CTG Froburgstrasse 10 CH-4600 Olten	<b>Name and Current Address of Transferor</b> Incore Bank AG Wiesenstrasse 17, CH-8952 Schlieren/Zurich (formerly Stauffacherstrasse 41, CH-8036 Zurich)  <b>Attn:</b> Christian Kummer christian.kummer@incorebank.ch
<b>Amount of Claim Being Transferred:</b>  Various, see table below, total claim amount is USD 1'189'064.65	
<b>Court Claim No. (if known):</b> 44885 / 44886 / 44887.01 / 44888 / 44890 / 44891 44892 / 44894 / 44895 / 44897 / 44899 / 44900 44901 / 44902 / 44903 / 44906 / 44908 / 44909 / 44910 44976 / 51630	
<b>Date Claim Filed:</b> October 21st, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

**InCore Bank AG**

Wiesenstrasse 17

By: CH-8952 Schlieren/Zürich

Date: 23 Jan. 2020

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).*

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Numbers: 44885 / 44886 / 44887.01 / 44888 / 44890 / 44891 / 44892 / 44894 / 44895 / 44897 / 44899 / 44900 / 44901 / 44902 / 44903 / 44906 / 44908 / 44909 / 44910 / 44976 / 51630  
(the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
	CH0027120648	LBHI	LBHI	EUR 10'000
	CH0027120655	LBHI	LBHI	CHF 25'000
	CH0027120663	LBHI	LBHI	CHF 10'000
	CH0027120689	LBHI	LBHI	EUR 35'000
	CH0027121034	LBHI	LBHI	CHF 130'000
	XS0270987547	LBHI	LBHI	EUR 200'000
	XS0300658597	LBHI	LBHI	CHF 100'000
	CH0036891395	LBHI	LBHI	EUR 30'000
	CH0039308660	LBHI	LBHI	CHF 30'000

	<b>XS0228154158</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 40'000</b>
	<b>XS0242136413</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 200'000</b>
	<b>ANN521334311</b>	<b>LBHI</b>	<b>LBHI</b>	<b>(USD) 103 UNITS</b>
	<b>XS0251180906</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 10'000</b>
	<b>XS0258396927</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 50'000</b>
	<b>XS0264209387</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 50'000</b>
	<b>ANN5214A1118</b>	<b>LBHI</b>	<b>LBHI</b>	<b>(CHF) 45 UNITS</b>
	<b>XS0300658597</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 20'000</b>
	<b>XS0302351266</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 30'000</b>
	<b>XS0302350888</b>	<b>LBHI</b>	<b>LBHI</b>	<b>EUR 20'000</b>
	<b>XS0320322901</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 75'000</b>
	<b>XS0324890440</b>	<b>LBHI</b>	<b>LBHI</b>	<b>CHF 70'000</b>

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**INCORE BANK AG, ZURICH ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:**

Entris Banking AG  
c/o Swisscom (Schweiz) AG  
Business Customers / CTG  
Froburgstrasse 10  
CH-4600 Olten

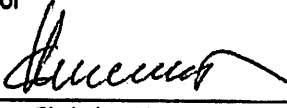
**("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.**

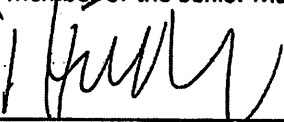
**Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.**

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated December 13, 2019.

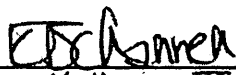
**Incore Bank AG Zurich**  
Transferor

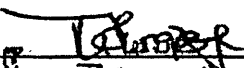
By:   
Name: Christian Kummer  
Title: Member of the Senior Management

By:   
Name: Thomas Huerzeler  
Title: Authorized Officer

ACKNOWLEDGED BY:

**Entris Baking AG, Gümülggen**  
Transferee

By:   
Name: Katharina Tschannen  
Title: Head of Corporate Actions & Entitlements

By:   
Name: Thomas Hunsperger  
Title: Specialist Corporate Actions & Entitlements